

### 3 Electron-Electron Interaction

#### 3.1 Hartree Approximation

$$\phi^e = \phi^{\text{Hartree}}(\{\vec{r}_i\}) = \varphi_{o_1}(\vec{r}_1) \varphi_{o_2}(\vec{r}_2) \dots \varphi_{o_N}(\vec{r}_N)$$

What are the best functions  $\varphi(\vec{r})$

"best":  $\langle \phi^{\text{Hartree}} | H^e | \phi^{\text{Hartree}} \rangle = \text{minimum}$

$$\langle \phi^{\text{Hartree}} | H^e | \phi^{\text{Hartree}} \rangle = \int \varphi_{o_1}^*(\vec{r}_1) \dots \varphi_{o_N}^*(\vec{r}_N) \left[ \sum_{k=1}^N \frac{-\hbar^2}{2m} \nabla_{\vec{r}_k}^2 + v(\vec{r}_k) \right] \varphi_{o_1}(\vec{r}_1) \dots \varphi_{o_N}(\vec{r}_N) d\vec{r}_1 \dots d\vec{r}_N$$
$$+ \frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \int \varphi_{o_1}^*(\vec{r}_1) \varphi_{o_N}^*(\vec{r}_N) \sum_{\substack{\kappa, \kappa' \\ \kappa \neq \kappa'}} \frac{1}{|\vec{r}_\kappa - \vec{r}_{\kappa'}|} \varphi_{o_\kappa}(\vec{r}_\kappa) \dots$$

$$\dots \varphi_{o_N}(\vec{r}_N) d^3\vec{r}_1 d^3\vec{r}_N$$

$$= \sum_{k=1}^N \int \varphi_{o_k}^*(\vec{r}_k) \left[ \frac{-\hbar^2}{2m} \nabla_{\vec{r}_k}^2 + v(\vec{r}_k) \right] \varphi_{o_k}(\vec{r}_k) d^3\vec{r}_k$$

$$+ \frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \sum_{\substack{\kappa, \kappa' \\ \kappa \neq \kappa'}} \left( \int \varphi_{o_\kappa}^*(\vec{r}_\kappa) \varphi_{o_{\kappa'}}^*(\vec{r}_{\kappa'}) \right)$$

$$\begin{aligned}
 & \times \left[ \frac{1}{|\vec{r}_k - \vec{r}_{k'}|} \right] \psi_{0k}(\vec{r}_k) \psi_{0k'}(\vec{r}_{k'}) d^3\vec{r}_k d^3\vec{r}_{k'} \\
 & = \text{energy functional} \\
 & = \tilde{E}_{\text{Hartree}} [\psi_{01}, \psi_{02}, \dots, \psi_{0N}; \psi_{01}^*, \psi_{02}^*, \dots, \psi_{0N}^*]
 \end{aligned}$$

side remark

function: number(s)  $\xrightarrow{\text{H}}$  number  
 $\psi(\vec{r})$   
 e.g.  $\sin(x)$

functional: function(s)  $\xrightarrow{\text{H}}$  number  
 $\tilde{E}_{\text{Hartree}}$

find the function  $\psi_{0i}(\vec{r})$  that minimize  $\tilde{E}_{\text{Hartree}}$

$$\langle \psi_{0i} | \psi_{0i} \rangle = 1$$

$\Rightarrow$  new functional

$$Q[\varphi_{0_1} \dots \varphi_{0_N} \dots \varphi_{0_N}^*] = E^{H_{min}}$$

$$- \sum_{k=1}^N E_{0_k} (1 - \langle \varphi_{0_k} | \varphi_{0_k} \rangle)$$

$\equiv$  minimum ↑ should zero

Lagrangian parameter

Min.  $\equiv$  change any  $\varphi_{0_k}$  by  $\delta \varphi_{0_k} \equiv$  arbitrary function

$$\rightarrow \delta Q = \text{zero}$$

$$\delta Q = Q[\varphi_{0_1}^* \dots \varphi_{0_k}^* + \delta \varphi_{0_k} - \varphi_{0_N}^*, \varphi_{0_1} \dots \varphi_{0_N}]$$

$$- Q[\varphi_{0_1}^* \dots \varphi_{0_k}^* \dots \varphi_{0_N}^*, \varphi_{0_1} \dots \varphi_{0_N}]$$

$$\langle \delta \varphi_{0_i} | \frac{-\hbar^2}{2m} \nabla^2 + v(r) | \varphi_{0_i} \rangle$$

$$\sum_{\substack{k=1 \\ k \neq i}}^N \frac{e^2}{4\pi\epsilon_0} \langle \delta \varphi_{0_i} \varphi_{0_k} | \frac{1}{|\vec{r}_k - \vec{r}_i|} | \varphi_{0_i} \rangle$$

$$\times \varphi_{0_i} \varphi_{0_k} \rangle = \epsilon_{0_i} \langle \delta \varphi_{0_i} | \varphi_{0_k} \rangle$$

This must hold for any  $\delta \varphi_{0_i}$  &  $\delta \varphi_{0_i}^*$  for all  $i = 1 \dots N$ .

$$\Rightarrow \left[ \frac{-\hbar^2}{2m} \nabla^2 + v(r) + \sum_{\substack{k=1 \\ k \neq i}}^N \frac{e^2}{4\pi\epsilon_0} \langle \varphi_{0_k} | \frac{1}{|\vec{r}_k - \vec{r}_i|} | \varphi_{0_i} \rangle \right]$$

$$\begin{aligned}
 \times \varphi_{0i}(\vec{r}) &= \epsilon_{0i} \varphi_{0i}(\vec{r}) \quad \text{Hartree eqn.} \\
 &= \left[ \frac{-\hbar^2}{2m} \nabla^2 + v^{\text{eff}}(\vec{r}) \right] \varphi_{0i}(\vec{r}) = \epsilon_{0i}(\vec{r}) \varphi_{0i}(\vec{r})
 \end{aligned}$$

$$v^{\text{eff}}(\vec{r}) = v(\vec{r}) + v^{\text{Hartree}}(\vec{r}) + v_{0i}^{\text{SIC}}(\vec{r})$$

$$v^{\text{Hartree}}(\vec{r}) = \frac{e^2}{4\pi\epsilon_0} \int \frac{n(\vec{r}')}{|\vec{r} - \vec{r}'|} d^3r'$$

$$v_{0i}^{\text{SIC}} = -\frac{e^2}{4\pi\epsilon} \int \frac{|\varphi_{0i}(\vec{r}')|^2}{|\vec{r} - \vec{r}'|} d^3r'$$

SIC self interaction correction

$$n(\vec{r}) = \langle \phi | \sum_{i=1}^N \delta(\vec{r} - \vec{r}_i) | \phi \rangle$$

$$\bar{n}_{\phi^{\text{Hartree}}} = \sum_{k=1}^N |\varphi_{0k}|^2$$

looks like single particle eqn.

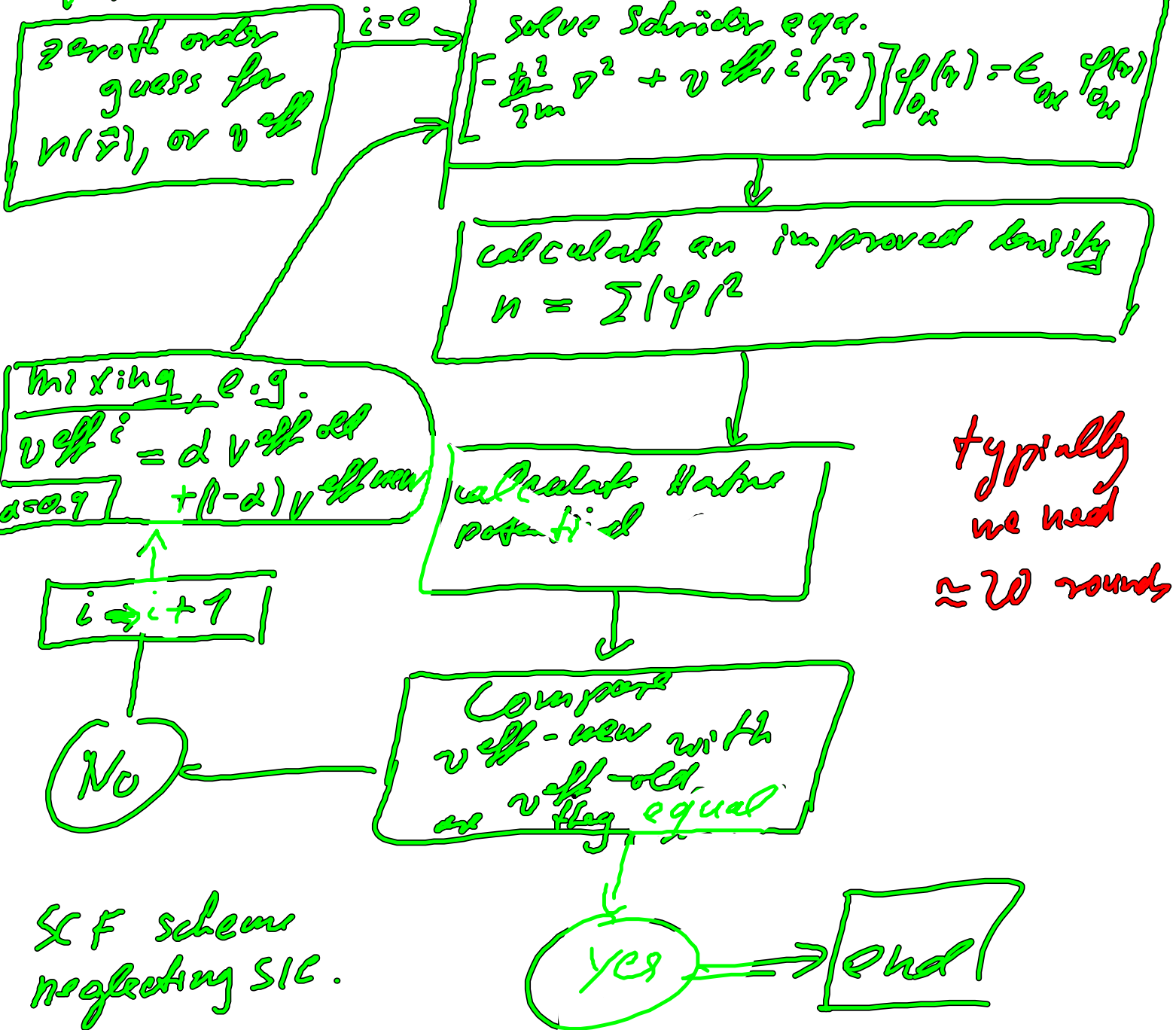
$v^{\text{eff}}$  needs the final solutions  
total energy is not  $\sum_{k=1}^N \epsilon_{0k} = \langle \phi^{\text{Hartree}} | H | \phi^{\text{Hartree}} \rangle$

How to find  $\rho_i$ , how to find  $v_{eff}^2 \Rightarrow$  self consistent field approach

start with a guess of  $v_{eff}$

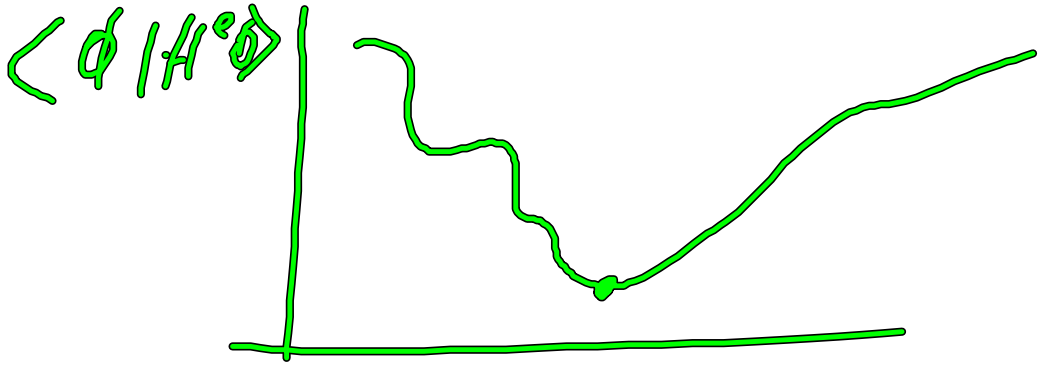
calculate the solutions, then build a new  $v_{eff}$  etc.

guess:  $n(\vec{r}) = \sum_{I=1}^M n_I^{atom}(\vec{r})$



typically we need  $\approx 20$  rounds

SCF scheme neglecting SIC.



Hartree did this for many atoms  
 $\approx 1930$

D. R. Hartree  $\rightarrow$  asked his father.  
 $\Uparrow$   
 W. R. Hartree

3.2. Hartree - Fock approximation

many-electron wavefunction must be antisym.  
 upon interchanging the coordinates of 2  
 electrons. = Pauli principle.

$\psi_{Hartree} = \psi$  symmetric.

Fock  $\psi^{HF} = \frac{1}{\sqrt{N!}}$

$\psi_{0, s_1}(r_1, z_1) \dots$   
 $\vdots$   
 $\psi_{0, s_N}(r_N, z_N) \dots$

$\psi_{0, s_N}(r_1, z_1)$   
 $\vdots$   
 $\psi_{0, s_N}(r_N, z_N)$

example: 2 electron system,  $H^e$ ,  $H^e$

$$\Phi^{HF} = \frac{1}{\sqrt{1.2}} \left[ \varphi_1(\vec{r}_1, \sigma_1) \varphi_2(\vec{r}_2, \sigma_2) - \varphi_2(\vec{r}_1, \sigma_1) \varphi_1(\vec{r}_2, \sigma_2) \right]$$

Now analogous to Hartree approach

$$\begin{aligned} \langle \Phi^{HF} | H^e | \Phi^{HF} \rangle &= E^{HF} \left[ \{ \varphi_{i, s_i}(\vec{r}) \}, \{ \varphi_{i, s_i}^*(\vec{r}) \} \right] \\ &= T_S [\dots] + E^{e-104} [\dots] \\ &\quad + E^{Hartree} [\dots] \\ &\quad + E^X [\dots] \end{aligned}$$

X = exchange

$$E^{Hartree} = \frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \iint \frac{u(\vec{r}) u(\vec{r}')}{|\vec{r} - \vec{r}'|} d^3r d^3r'$$

$$E^X = -\frac{1}{2} \frac{e^2}{4\pi\epsilon_0} \sum_{i,j} \delta_{s_i s_j}$$

$$\iint \frac{\varphi_{i, s_i}^*(\vec{r}) \varphi_{j, s_j}^*(\vec{r}') \varphi_{i, s_i}(\vec{r}') \varphi_{j, s_j}(\vec{r})}{|\vec{r} - \vec{r}'|} d^3r d^3r'$$

$$i=j \equiv \text{SIC}$$

$E^x = \text{negative} \equiv \text{better total energy.}$

... see exercise  $\implies$

$$\left( -\frac{\hbar^2}{2m} \nabla^2 + v(\vec{r}) + v^{\text{Hartree}}(\vec{r}) + v_x^x(\vec{r}) \right) \varphi_{\alpha k}(\vec{r}) = \epsilon_{\alpha k} \varphi_{\alpha k}(\vec{r})$$

$\uparrow$   
exchange potential

$$v_x^x(\vec{r}) \varphi_{\alpha k}(\vec{r}) = \frac{-e^2}{4\pi\epsilon_0} \sum_{i,j} \delta_{i,j} \int \frac{\varphi_{\alpha k}(\vec{r}') \varphi_{\alpha k}(\vec{r})}{|\vec{r}-\vec{r}'|} d^3r'$$

$$\int \frac{\varphi_{\alpha k}(\vec{r}') \varphi_{\alpha k}(\vec{r})}{|\vec{r}-\vec{r}'|} d^3r'$$

integral operator

Slater (1951) multiply  $v_x^x(\vec{r}) \times \frac{\varphi_{\alpha k}(\vec{r})}{\varphi_{\alpha k}(\vec{r})} = 1$

$$v_x^x(\vec{r}) = \frac{-e^2}{4\pi\epsilon_0} \int \frac{N^{\text{HF}}(\vec{r}, \vec{r}')}{|\vec{r}-\vec{r}'|} d^3r'$$

$$N^{\text{HF}}(\vec{r}, \vec{r}') = \sum_{i=1}^N \delta_{i,k} \frac{\varphi_{\alpha k}^*(\vec{r}') \varphi_{\alpha k}(\vec{r}) \varphi_{\alpha k}(\vec{r})}{\varphi_{\alpha k}(\vec{r})}$$

Interpretation of

$v^x, N^{\text{HF}}$  next week